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20583 7590 04/20/2004

JONES DAY
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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/724,530	11/28/2000	Clay B. Siegall	9632-012	7001

TITLE OF INVENTION: METHODS FOR THE TREATMENT AND PREVENTION OF CANCER USING ANTI-CD40 ANTIBODIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	Yes	\$330 \$665.00	\$0	\$330 \$665.00	07/20/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
CANELLA, KAREN A	1642	424-130100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Vita G. Conforti

2 Jones Day

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Seattle Genetics, Inc.

Bothell, Washington

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee
- ☒ Advance Order - # of Copies 10

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- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-3013 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) Adriane M. Antler (Date)

Adriane M. Antler, Reg. #32,605 May 6, 2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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05/12/2004 WABRHAM2 00000024 503013 09724530

01 FC:2501

665.00 DA

02 FC:8001

30.00 DA

TRANSMIT THIS FORM WITH FEE(S)



Express Mail No. EV 335 859 739 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	SIEGALL ET AL.	Confirmation No.:	7001
Serial No.:	09/724,530	Art Unit:	1642
Filed:	November 28, 2000	Examiner:	Canella, K.
For:	METHODS FOR THE TREATMENT AND PREVENTION OF CANCER USING ANTI-CD40 ANTIBODIES	Attorney Docket No.:	9632-012

COMMUNICATION REGARDING SMALL ENTITY STATUS

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450


Sir:

Applicants are entitled to small entity status, as evidenced by the "Assertion of Small Entity Status" filed on November 21, 2002 (copy enclosed). Accordingly, Applicants are paying, via the Form PTOL-85 submitted herewith, the issue fee as a small entity, in the amount of \$665.00, and have indicated the appropriate small entity status and fee due on the Form.

It is respectfully requested that the records of the Patent and Trademark Office be updated to reflect that the application is entitled to small entity status.

Date: May 6, 2004

Respectfully submitted,


Adriane M. Antler 32,605
(Reg. No.)
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New York, New York 10036-2711
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